



Financial Assistance Award

DENALI COMMISSION
510 "L" Street, Suite 410
Anchorage, Alaska 99501
(907) 271-1414 (phone)
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www.denali.gov

Authority
112 Stat 1854

CFDA Number
90.100

**Denali Commission Finance
Officer Certification**

Award Number 371-08

Award Title Harris Subregional Clinic

Performance Period January 1, 2008 thru January 31, 2010

Authorizing Resolution FY07 Work Plan

Recipient Organization & Address

Chignik Bay Tribal Council
101 Anderson Road
Chignik Bay, Alaska 99564
Phone: (907) 749-2481
Fax: (907) 749-2482

Recipient DUNS # 14-276-3213 **TIN #** 42-1733358

Cost Share Distribution Table

Accounting Code	Denali Commission	Other Contributors	Total
95670000	\$1,868,780.00		\$1,868,780.00
Total Match Funding*		\$699,890.00	\$699,890.00
Total	\$1,868,780.00	\$699,890.00	\$2,568,670.00

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in duplicate and constitutes an obligation of federal funding. By signing the documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, a signed Award document shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the other document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

- ☒ Special Award Conditions and Attachments
☐ Line Item Budget
☒ OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations
(<http://www.whitehouse.gov/omb/circulars/a133/a133.html>)

Administrative Requirements (check one)

- ☒ OMB Circular A-102, Grants and Cooperative Agreements with State and Local Governments
(<http://www.whitehouse.gov/omb/circulars/a102/a102.html>)
☐ 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations (OMB Circular A-110)
(http://www.access.gpo.gov/nara/cfr/waisidx_05/2cfr215_05.html)

Cost Principles (check one)

- ☒ OMB Circular A-87, Cost Principles for State, Local and Indian Tribal Governments
(www.whitehouse.gov/omb/circulars/a087/a087-all.html)
☐ OMB Circular A-122, Cost Principles for Nonprofit Organizations
(www.whitehouse.gov/omb/circulars/a122/a122.html)
☐ OMB Circular A-21, Cost Principles for Educational Institutions
(<http://www.whitehouse.gov/omb/circulars/a021/a021.html>)
☐ 48 CFR 31.2, Contracts with Commercial Organizations

*See Award Conditions for match breakdown.

Signature of Authorized Official - Denali Commission

Typed Name and Title

George J. Cannelos, Federal Co-Chair

Date

12/20/07

Signature of Authorized Official - Chignik Bay Tribal Council

Typed Name and Title

Roderick Carlson, Tribal President

Date

1-16-08

ASSURANCES - CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

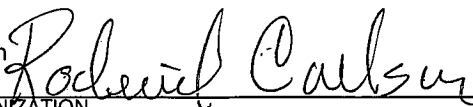
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET.
SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		TITLE	
Roderick Carlson 		Tribal President	
APPLICANT ORGANIZATION		DATE SUBMITTED	
Chignik Bay Tribal Council		Award 371-08 1-16-08	

***Award Conditions to the Financial Assistance Award
Between the Denali Commission and Chignik Bay Tribal Council
For Harris Subregional Clinic
Award No. 371-08
December 2007***

1. Scope of Work

This award provides funding in the amount of \$1,868,780 to the Chignik Bay Tribal Council (CBTC) for construction and project management of the Harris Subregional Clinic. Construction funding in the amount of \$1,613,780 is authorized and project management expenses in the amount of \$255,000 are allowable, making the total Commission funding amount \$1,868,780. The scope of work includes the construction of a 6,132 square foot facility in Chignik Bay, Alaska. This subregional clinic will serve the communities of Chignik, Chignik Lake, Chignik Lagoon, Perryville, Ivanoff Bay and Port Heiden and additionally will serve a seasonal commercial fishing industry population. This clinic is a Community Health Center (CHC). As a subregional hub, outlying communities have agreed to a regional model of service delivery with the outlying communities meeting their needs through village clinics and referring to the regional hub as opposed to Anchorage or Seattle. Chignik Bay (also referred to as Chignik) is a non-distressed community however all of its outlying communities are distressed. Therefore, the cost share match has been adjusted to 68.9% eligible for Commission funding.

Budget and Cost Share

Total project cost for construction is \$2,313,670 and the 68.9% match requirement is applied making the Commission's share \$1,594,119. Additional costs in the amount of \$19,661 are authorized in this award as part of the construction budget. This is allowable as CBTC paid for a portion of design costs that would have otherwise been covered at 100% by the Commission, and these funds are therefore credited to the construction budget. The following funding sources fully fund the project construction.

Denali Commission (68.9% of construction)	\$1,594,119
Denali Commission (match spent on design costs)	19,661
Chignik Bay Tribal Council Members/Potluck	2,500
Trident Seafoods	10,000
Rasmuson Foundation	350,000
RCAC Loan	69,371
Alaska Mental Health Trust	50,000
CBTC/BBNA Compact Funds	20,000
CBTC/BIA IRR Parking Lot Construction	198,019
Total Construction:	\$2,313,670

Project Funding Summary

Commission Funds (construction):	\$1,613,780
Commission Funds (project management):	\$255,000
Total Commission Funding:	\$1,868,780
Total Match Funding:	\$699,890
Total Project Cost:	\$2,568,670

Project Management

This is a unique project management model using a direct award with the local tribe, force account method for construction and increased oversight by the Denali Commission staff. The project management will be provided by the CBTC with ongoing support and construction management services of Northern Management/CE2. This is at the direction of the Denali Commission. Due to this unique direct management of this project, special award conditions, listed below, will apply.

A local project liaison will act as the spokesperson and coordinator of all project related business; this includes interface with the construction manager and the Denali Commission. Monthly meetings will be held with Denali Commission staff. A timeline for project completion will be maintained and updated by the project liaison and will serve as the basis for the monthly meeting agenda. The construction manager and any other relevant individuals will participate via teleconference; other funding entities may wish to participate. As project activity increases or decreases, the Commission may request more or less frequent meetings with the project liaison. Additionally, any changes in the appointed project liaison must be reported to the Denali Commission as soon as possible as a condition of this grant agreement.

In addition to project construction timeline and overseeing the construction manager, the project liaison shall be responsible for overseeing coordination of the following efforts:

1. Tracking supporting infrastructure or developments such as roads, water/sewer connections or necessary energy to heat and light the facility. As any coordination issues arise, these should be reported to the Denali Commission.
2. Construction budget does not currently reflect furniture, fixtures and equipment (FF&E). A strategy and timeline for funding and installing FF&E shall be overseen by the project liaison. Closeout of this award will be contingent upon successful completion of FF&E.
3. Tracking and documenting necessary start up and operating funds for the facility in preparation for opening. Specifically, operating funds for the energy costs for this facility need to be accurately projected and secured.
4. Oversee contracted services. In addition to the construction contract, authorized contracted services include services to meet grant related

requirements such as independent cost estimate, project reporting support, audit, accounting services, inspection services and oversight functions. The project liaison is responsible for overseeing all contract activities.

Failure of the CBTC to adhere to the terms of the project management model as outlined in the award conditions will be considered non-compliant with grant conditions and the Commission may elect to take necessary action.

All Commission funding is intended for use for the scope of work identified in the Award document only. Any balance of funds remaining after the full scope of work has been completed will be returned to the Denali Commission.

2. Milestones

The following milestones are identified as the major steps to be completed as part of the project. "Planned" dates for the first milestone are included here. As part of each progress report, recipients shall update the progress toward meeting these milestones (see section 7, Reporting).

Milestone	Planned		Actual		Units	Total Cost At Completion
	Start Date	End Date	Start Date	End Date		
Construction	01/01/08	01/31/10			0	\$0.00
Project Close-out	02/01/10	04/30/10			0	\$0.00

3. Award Performance Period

The Award performance period is January 1, 2008 through January 31, 2010. This is the period during which Award recipients can incur obligations or costs against this Award.

4. Direct and Indirect Costs

The cost principles of OMB A-87 are applicable to this Award. No indirect costs are allowable under this award Please refer to the cost principles regulations for specific details on other allowable charges under this Award.

5. Budget and Program Revisions

The Administrative Circular A-102 applies to this Award. Please refer to the Administrative Circular for specific details on revisions to this Award. The Administrative Circular requires that Chignik Bay Tribal Council will inform the Commission in writing (e-mail, letter, or report) at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions.

6. Payments

Payments under this Award will be made by electronic transfer in response to a "Request for Advance or Reimbursement", Standard Form 270 (SF-270) submitted by Chignik Bay Tribal Council. Requests for advances or reimbursements may be made as needed and should be submitted no later than 30 days after the federal quarter. The SF-270 must be submitted to the Denali Commission via fax, e-mail (finance@denali.gov) or mail in order for payment to be processed. The form is available on the OMB website: http://www.whitehouse.gov/OMB/grants/grants_forms.html. Payments shall be made in accordance with OMB A-102. Please contact the Denali Commission's Finance Manager at (907) 271-1414 for further information about submitting this form. No interest will be accrued on these funds.

7. Reporting

Two forms of project reporting are required under this Award, listed below. Chignik Bay Tribal Council shall submit reports using the Denali Commission's on-line Project Database System, available at www.denali.gov. If there are technical limitations which may prevent the recipient from meeting this requirement, please contact the Program Manager listed in this agreement.

- a. **Progress Reports** shall be submitted on a quarterly basis. The first reporting period is January 1, 2008 thru March 31, 2008 and quarterly thereafter. Reports are due within 30 days of the end of the reporting period. Progress reports shall include the following:
 - i. Total project funding, including both Denali Commission funding and other project funding sources.
 - ii. The total project expenditures for the project as of the end of the reporting period, including both Denali Commission and Other funding sources.
 - iii. Updated schedule and milestone information as identified in the Scope of Work.
 - iv. Narrative summary of the project status and accomplishments to date, any problems, overruns and delays, including any type of litigation related to the project, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems.
 - v. **Construction Projects** Photographic documentation of project progress shall be provided with the progress reports for active construction projects. The photo documentation shall include a minimum of three, dated photos per reporting period such that a complete record of the construction is maintained over time, from "before," showing the situation before the start of construction, to "during" showing work proceeding on the project, and "after" to show the finished project. Photos shall be provided in a digital format as

part of the on-line report. A short description of the activity and names of those in the photos shall also be provided.

- b. **Federal Single Audits** shall be submitted annually, when required. In accordance with OMB Circular A-133, which requires [subpart 200] "Non-Federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part [subpart 205]. The determination of when an award is expended should be based on when the activity related to the award occurs."

Recipients shall also submit single audits to the Federal Clearinghouse as designated by OMB. Information can be found on the following web-site:

<http://harvester.census.gov/sac/>

8. Project/Award Close-Out

The project close-out report shall be completed within 90 days of the end of the Award performance period or within 90 days of the completion of the project, whichever is earlier. Recipients must also draw down any remaining funds for expenditures incurred under this award during this 90-day period.

The project close-out report shall be submitted on-line through the Denali Commission's on-line Project Database System, available at www.denali.gov. The project close out will require the recipient to submit the following information:

- a. Final data for each item listed in paragraph 7(a) "Progress Reports."
- b. Final project expenditures itemized by the following categories: planning & design; materials & equipment; freight; labor; project administration/overhead; and other expenses.
- c. Acknowledgement of support: For all construction projects, the Award recipient shall display a sign that acknowledges the Government's support for the project(s) developed under this Award. The Commission will provide an appropriate sign. The Award recipient must request an indoor and/or an outdoor sign from the Commission office. Pickup or delivery of the sign can be arranged at that time.

9. Public Policy Laws and Assurances

Award Recipients are required to comply with the public policy laws and assurances on SF 424d (construction projects). This form must also be signed by a certifying official of the organization. Some of the laws are highlighted below for your reference.

No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

Project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Denali Commission funds.

10. Non-Compliance with Award Conditions

Recipients not in compliance with the terms and conditions of this Financial Assistance Award will be notified by the Denali Commission. The Denali Commission will work with the recipient to identify the steps necessary to bring them back into compliance, and will establish an appropriate time frame for the corrections to be made. If the corrections have not been made by the deadline, the Denali Commission reserves the right to either suspend or unilaterally terminate the Financial Assistance Award for non-performance.

11. Program Manager, Financial Manager & Other Contact Information

Denali Commission	Chignik Bay Tribal Council
Denali Daniels Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1189 Fax: 907-271-1415 E-mail: ddaniels@denali.gov	Jeanette Carlson Project Manager 101 Anderson Road Chignik Bay, Alaska 99564 Phone: 907-749-2481 Fax: 907- 749-2482 Email: jcar204840@aol.com
Betty Sorensen Grants Administrator 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3415 Fax: 907-271-1415 E-mail: bsorensen@denali.gov	Debbie Carlson Financial Contact 101 Anderson Bay Road Chignik Bay, Alaska 99564 Phone: 907-749-2445 Fax: 907- 749-2423 Email: cbaytc@aol.com

12. Other project specific paragraphs may be added here

To the maximum extent practicable, considering applicable laws, Funding Recipients shall accomplish the project contemplated by the Award using local Alaska firms and labor.